

Docket No. 9847-0044-6X PCT
ENKEL 8303

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D. Martin
IDS
3/12/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: LI MING ET AL
SERIAL NO: 09/508,683
FILED: JUNE 1, 2000
FOR: POWER TRANSFORMER/REAC

GAU: 2832

EXAMINER: Unassigned

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:
Applicant(s) wish to disclose the following information.

REFERENCES

- Applicant(s) wish to make of record the references listed on the attached form PTO-1449 which are relevant to the present case as well as related “bulk filing applications” cases, as discussed in Paper No. 11 Response to Petition under 37 CFR 1.182 Seeking Special Treatment Relating to an Electronic Search Tool, and Decision on Petition under 37 CFR 1.183 Seeking Waiver of Requirements under 37 CFR 1.98 (i.e., the “Response to Petition”). Because the references were cited by foreign examiners in a foreign case that corresponds with one of the U.S. “bulk filing applications”, or were uncovered by the present assignee in the course of performing supplemental searches the references are believed to be relevant to the present application and bulk filing applications. Three copies of each of the listed references were provided in “holding application” Serial No. 09/147,325 filed February 17, 1999 to the Patent Office consistent with the requirements in the Response to Petition.
- A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application.

A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

■ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

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INFORMATION DISCLOSURE CITATION LIST ALTERNATE FORM PTO-1449 (additional to original listing)		Docket Number: 9847-0044-6X PCT	Application Number: 09/508,683 MAP 06 2001 665
		Applicant(s): LI MING ET AL	
		Filing Date: JUNE 1, 2000	Group Art Unit: 2832

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
1		US 1,508,456	9/16/24	W.G.Lenz			
2		US 1,904,885	4/18/33	G.A.Seeley			
3		US 2,409,893	10/22/46	W.W. Pendleton et al			
4		US 2,650,350	8/25/53	P.D. Heath			
5		US 2,749,456	06/05/56	F.O. Luenberger			
6		US 3, 014, 139	12/19/61	L.P. Shildneck			
7		US 3,197,723	7/27/65	I.K.Dortort			
8		US 3,392,779	7/16/68	K.B. Tilbrook			
9		US 3,411,027	11/12/68	H. Rosenberg			
10		US 3,541,221	11/17/70	M.Aupoix et al			
11		US 3,571,690	3/23/71	V V A V Lataisa			
12		US 3,651,244	3/21/72	D.A. Silver et al			
13		US 3,660,721	5/2/72	L.L.Baird			
14		US 3,666,876	5/30/72	E.O.Forster			
15		US 3,684,906	8/15/72	H.G.Lexz			
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17		US 3,743,867	7/3/73	J.L. Smith, Jr.			
18		US 3,787,607	1/22/74	H.J.Schlaflly			
19		US 3,813,764	6/4/74	E. Tanaka et al			
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32		US 4,432,029	2/14/84	B. Lundqvist			
33		US 4,437,464	3/20/84	J.J.Crow			
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39		US 4,615,778	10/7/86	R.K.Elton			
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Examiner

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INFORMATION DISCLOSURE CITATION LIST

ALTERNATE FORM PTO-1449

**ALTERNATE FORM NO. 110
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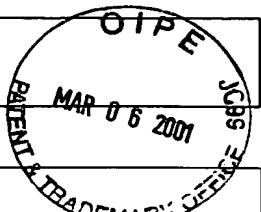
Subtotal **65170**

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INFORMATION DISCLOSURE CITATION LIST
ALTERNATE FORM PTO-1449



FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
				YES	NO
1	DE 209,313	4/25/84	Germany		
2	DE 134,022	12/28/01	Germany		
3	DE 1,465,719	5/22/69	Germany		
4	DE 19,020,222	3/13/97	Germany		
5	DE 19,620,906	1/8/96	Germany		
6	DE 386,561	12/13/23	Germany		
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8	DE 406,371	11/21/24	Germany		
9	DE 4,402,184	8/3/95	Germany		
10	DE 4,438,186	5/2/96	Germany		
11	DE 975,999	1/10/63	Germany		
12	EP 0,102,513	1/22/86	European		
13	EP 0,185,788	7/2/86	European		
14	EP 0,221,404	5/16/90	European		
15	EP 0,503,817	9/16/92	European		
16	EP 0,620,630	10/19/94	European		
17	EP 0,739,087 A2	10/23/96	European		
18	EP 0,739,087 A3	3/27/97	European		
19	EP 0,749,193 A3	3/26/97	European		
20	EP 0,749,190 A2	12/18/96	European		
21	EP 0,913,912 A1	5/6/99	European		
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27	GB 2,150,153	6/26/85	United Kingdom		
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42	WO 91/11841	8/8/91	PCT		
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44	WO 91/15755	10/17/91	PCT		
45	WO 97/29494	8/14/97	PCT		
46	WO 98/40627	9/17/98	PCT		
47	WO 98/43336	10/1/98	PCT		
48	PCT/DE 90/00279	11/27/90	Int'l Search Report		

Examiner

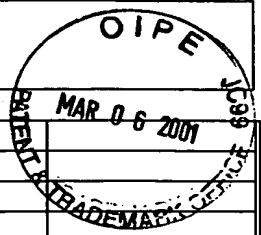
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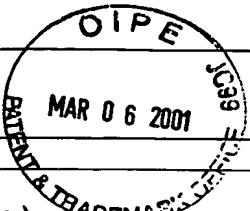
Subtotal 51

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MAR 06 2001



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2	OD 045	Analysis of faulted Power Systems; P Anderson, Iowa State University Press / Ames, Iowa, 1973, pp 255-257
3	OD 046	36-Kv. Generators Arise from Insulation Research; P. Sidler; <i>Electrical World</i> 10/15/1932, pp 524
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7	OD 050	The Skagerrak transmission – the world's longest HVDC submarine cable link; L. Haglof et al of ASEA; ASEA Journal Vol 53, Number 1-2, 1980, pp 3-12
8	OD 051	Direct Connection of Generators to HVDC Converters: Main Characteristics and Comparative Advantages; J. Arrillaga et al; <i>Electra</i> No. 149, 08/1993, pp 19-37
9	OD 052	Our flexible friend article; M. Judge; <i>New Scientist</i> , 05/10/1997, pp 44-48
10	OD 053	In-Service Performance of HVDC Converter transformers and oil-cooled smoothing reactors; G.L. Desilets et al; <i>Electra</i> No. 155, 08/1994, pp 7-29
11	OD 054	Transformateurs a courant continu haute tension-examen des specifications; A. Lindroth et al; <i>Electra</i> No 141, 04/1992, pp 34-39
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13	OD 056	Verification of Limiter Performance in Modern Excitation Control Systems; G. K. Grgis et al; IEEE Energy Conservation, Vol. 10, No. 3, 09/1995, pp 538-542
14	OD 057	A High Initial response Brushless Excitation System; T. L. Dillman et al; IEEE Power Generation Winter Meeting Proceedings, 01/31/1971, pp 2089-2094
15	OD 058	Design, manufacturing and cold test of a superconducting coil and its cryostat for SMES applications; A. Bautista et al; IEEE Applied Superconductivity, Vol 7, No. 2, 06/1997, pp 853-856
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24	OD 068	Relocatable static var compensators help control unbundled power flows; R. C. Knight et al; <i>Transmission & Distribution</i> , 12/1996, pp 49-54
25	OD 069	Investigation and Use of Asynchronized Machines in Power Systems*; N.I. Blotskii et al; <i>Elektrichestvo</i> , No. 12, 1-6, 1985, pp 90-99
26	OD 070	Variable-speed switched reluctance motors; P.J. Lawrenson et al; IEE proc, Vol 127, Pt.B, No.4, 07/1980, pp 253-265

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	27	OD 071	Das Einphasenwechselstromsystem hoherer Frequenz; J.G. Heft; Elektrische Bahnen; 12/1987, pp 388-389
	28	OD 072	Power Transmission by Direct Current; E. Uhlmann; ISBN 3-540-07122-9 Springer-Verlag, Berlin/Heidelberg/New York; 1975, pp 327-328
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	33	OD 078	Lexikon der Technik; Luger; Band 2, Grundlagen der Elektrotechnik und Kerntechnik, 1960, pp 395
	34	OD 079	Das Handbuch der Lokomotiven (hungarian locomotive V40 1'D'); B. Hollingsworth et al; Pawlak Verlagsgesellschaft; 1933, pp. 254-255
	35	OD 080	Synchronous machines with single or double 3-phase star-connected winding fed by 12-pulse load commutated inverter. Simulation of operational behaviour; C. Ivarson et al; ICEM 1994, International Conference on electrical machines, Vol. 1, pp 267-272
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	37	OD 082	Power Electronics - in Theory and Practice; K. Thorborg; ISBN 0-86238-341-2, 1993, pp 1-13
	38	OD 083	Regulating transformers in power systems- new concepts and applications; E. Wirth et al; ABB Review 04/1997, p 12- 20,
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	46	OD 091	Design and manufacture of a large superconducting homopolar motor; A.D. Appleton; IEEE Transactions on Magnetics, Vol. 19, No.3, Part 2, 05/1983, pp 1048-1050
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	49	OD 094	Properties of High Polymer Cement Mortar; M. Tamai et al; Science & Technology in Japan, No 63 ; 1977, pp 6-14
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Examiner

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Subtotal	53		
GRAND TOTAL	169		

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